

19-P098US

PATENT

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: S. Iwamatsu  
Serial No.: 09/836,857  
Filed: April 17, 2001  
Art Unit: 1762  
Examiner: Not Assigned  
For: ELECTRON BEAM DUPLICATION LITHOGRAPHY METHOD AND APPARATUS

**APPLICANT'S RESPONSE TO PTO'S NOTICE TO  
FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

1. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed June 14, 2001.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application is attached.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on 6-25, 2001.

Signature

Toni Stanley

*(printed name of person certifying)*

2. An executed Declaration is enclosed. Also enclosed is an executed Assignment and Assignment Recordation.

**COMPLETION FEES**

3. ☒ Surcharge fee is attached in compliance with 37 CFR 1.27 (37 C.F.R. § 1.16(l)).  
☐ \$130.00 for a large entity;  
☒ \$65.00 for a small entity.

**PAYMENT OF FEES**

4. ☒ Enclosed is a check in the amount of \$ 420.00 (\$65 surcharge fee and \$355 filing fee).

However, should the check be missing or insufficient, the Commissioner is hereby authorized to charge any deficiency in fees, or credit any overpayment, to Account No. 23-2426 (12179-P098US). **A photocopy of this request is enclosed.**

- ☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A photocopy of this request is enclosed.**

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: \_\_\_\_\_

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/836.857	04/17/2001	Seiichi Iwamatsu	12179-P098US

CONFIRMATION NO. 5893

## FORMALITIES LETTER



\*OC000000006184577\*

Winstead Sechrest & Minick P.C.  
5400 Renaissance Tower  
1201 Elm Street  
Dallas, TX 75270

Date Mailed: 06/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE